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From: Winston Hsu, Registration No. 41,526

Serial No.: 10/711,567

Art Unit: 2822

Attorney Docket No.: KYCP0029USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 6 pages (including cover page)

Winston Hsu Date: DEC 0 4 2006

KYCP0029USA0_D1_1

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PTO/SB/21 (07-06)

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				Application Number	10/711	,567		
TRANSMITTAL FORM			Filing Date	09/24/	09/24/2004			
			First Named Inventor	Chen	Chen Ou			
				Art Unit	2822			
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Total Number	of Pages in	This Submission	5	Attorney Docket Number	r KYCP	0029USA		
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Firm Name	North	America Intelle	ctual Pro	perty Corporation		-		
Signature Collection there								
Printed name Winston Hsu								
Date DEC 0 4 2006 Reg. No. 41,526								
sufficient postage the date shown b	CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:							
Signature		Tue	Tex			<u> </u>		·
Typed or printed	name	Jun Yu				Date	DEC 0 4	2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandris, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. GEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Name (Print/Type) Winston Hsu

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PTC/SB/17 (07-06)

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	Effective on 12	VD8/2004				Complete if K	nown	
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Number. 10/711,56					
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	For FY			First Named In	ventor.	Chen Ou		
				Examiner Nan		GRAYBILL, I	DAVID E	
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sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) - 100 = /50 (round up to a whole number) x								
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Other (e.g.,	late filing surch:	rge):						
SUBMITTED BY								
Signatur e	Columbia	block		Registration No. (Attorney/Agent)	41,526	Telep	hone 3027291562	
Name (Print/Type)	Winston Hsu		L	THE PROPERTY OF THE PERTY OF TH		Date	DEC 0 4 2006	

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This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to tile (and by the USPTO to precess) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commissioners, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		FORMATI	-		First Named Inventor	Chen Ou			
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$\overline{\ }$	Sheet	I	of	1	Attorney Docket Number	KYCP0029USA			

Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where								
Initials"	No.	Number-Kind Code ^{2 (f Incom)}	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Whore Relevant Passages or Relevant Figures Appear			
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	FOREIGN PATENT DOCUMENTS								
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"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. 1 Applicants unique citation designation number (options), 2 See Kinds Codes of USPTO Patent Documents at www.usagis.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
This collection of Intermetion is required by 37 CFR 1.87 and 1.88. The information is required to obtain or rotatin a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tredemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandris, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandris, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chen Ou, et al.

Appl. No.: 10/711,567 Filing Date: 09/24/2004

Examiner: GRAYBILL, DAVID E Art Unit: 2822

Docket No.: KYCP0029USA Confirmation No.: 5566

Title: TERNARY NITRIDE-BASED BUFFER LAYER OF A

NITRIDE-BASED LIGHT-EMITTING DEVICE AND A METHOD

FOR MANUFACTURING THE SAME

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Information disclosure statement under 37 CFR \$1.56

Dear Sir,

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR \$1.56. The applicant wishes to make of record the document(s) listed on the accompanying form PTO/SB/08.

All items of information contained in this IDS were disclosed by the inventors. Since this IDS is filed after the mailing date of the first Office action but before final Office action, consideration of the information disclosure statement is hereby requested according to 37 CFR

\$1.97(c).

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

Respectfully submitted,

Weinton Hars

DEC 0 4 2006

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 Facsimile: 806-498-6673

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